



# Classical Examples

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- G. R. MacLane (1952): There is a function  $f \in H(\mathbb{C})$  so that  $\{f(z), f'(z), f''(z), f'''(z) \dots\}$  is dense in  $H(\mathbb{C})$ .

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Let  $p \geq 1$ , and  $B : \ell^p \rightarrow \ell^p$  be the unilateral backward shift defined by  $B(a_0, a_1, a_2, \dots) = (a_1, a_2, a_3, \dots)$ .

- Rolewicz (1969): If  $t \in (1, \infty)$ , then there exists a vector  $x$  in  $\ell^p$  so that  $\{x, (tB)x, (tB)^2x, (tB)^3x, \dots\}$  is dense in  $\ell^p$ .

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Definition. A bounded linear operator  $T$  in  $B(X)$  is hypercyclic if there is a vector  $x$  whose orbit  $\text{orb}(T, x) = \{x, Tx, T^2x, T^3x, \dots\}$  is dense in  $X$ . Such a vector  $x$  is called a hypercyclic vector.



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- Kitai (1982), Gethner and Shapiro (1987):  $T : X \rightarrow X$  is hypercyclic if there is a dense set  $D$  of  $X$  and  $T$  has a right inverse  $S$  so that  $T^n x \rightarrow 0$  and  $S^n x \rightarrow 0$  for each vector  $x \in D$ .

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- Enflo (1987): Not if the space is  $\ell^1$ . That is, there is an operator  $T$  on  $\ell^1$  for which every nonzero vector  $x$  has the property that  $\overline{\text{span orb}(T, x)} = \ell^1$ .

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- Read (1989): There is an operator  $T$  on  $\ell^1$  with no nontrivial closed invariant subset. That is, every nonzero vector  $x$  has the property that  $\overline{\text{orb}(T, x)} = \ell^1$ .

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Proof: Take  $X = \mathbb{C}^n$ . The adjoint  $T^* : \mathbb{C}^n \rightarrow \mathbb{C}^n$  has an eigenvalue  $\alpha \in \mathbb{C}$ . Suppose  $T^*y = \alpha y$ .



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$$\langle T^n x, y \rangle = \langle x, T^{*n} y \rangle = \langle x, \alpha^n y \rangle = \alpha^n \langle x, y \rangle,$$

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If  $X$  is a Hilbert space, no normal operator is hypercyclic.

# Hypercyclic vectors

Suppose  $\{x_j : j \geq 1\}$  is a countable dense subset of  $X$ , and  $x$  is a vector in  $X$ . For  $x$  to be a hypercyclic vector, the following must hold:

For all  $x_j$  and for all  $\epsilon > 0$ , there is a integer  $n$  such that  
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Let  $\mathcal{HC}(T) = \{x \in X \mid x \text{ is a hypercyclic vector for } T\}$ .



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# A Basic Zero-One Law for Hypercyclic Vectors

- Kitai (1982): For any operator  $T$  in  $B(X)$ , either  $\mathcal{HC}(T)$  is either  $\emptyset$  or a dense  $G$  set.

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Baire Category Theorem  $\implies$

If  $\{T_n : X \rightarrow X | n \geq 1\}$  is a countable collection of hypercyclic operators, then their set of common hypercyclic vectors

$$\bigcap_{n=1}^{\infty} \mathcal{HC}(T_n)$$

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- Salas (1999): If  $B$  is the unilateral backward shift, is the set of common hypercyclic vectors

$$\bigcap_{t>1} \mathcal{HC}(tB) \neq \emptyset?$$

# Existence of a $G_\delta$ Set of Common Hypercyclic Vectors

- Abakumov & Gordon (2003):  $\bigcap_{1 < t} \mathcal{HC}(tB)$  = the set of common hypercyclic vectors for  $tB$  is a dense  $G_\delta$  set.

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- Costakis & Sambarino (2004): Reproved the above result with a simpler proof by introducing a sufficient condition for common hypercyclicity, and showed other applications.

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$T : \ell^p \rightarrow \ell^p$  is said to be a unilateral weighted backward shift if there is a bounded positive weight sequence  $\{w_j : j \geq 1\}$  such that

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- Grosse-Erdmann (2000): Generalizations to Frechet spaces.

# Bilateral Weighted Shifts on $\ell^p$

$T : \ell^p \rightarrow \ell^p$  is a bilateral weighted backward shift if there is a bounded positive weight sequence  $\{w_j : j \in \mathbb{Z}\}$  such that

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- Salas (1995): A bilateral weighted shift  $T$  is hypercyclic if and only if for any  $\epsilon > 0$ , and  $q \in \mathbb{N}$ , there is an arbitrarily large  $n$  such that whenever  $|k| \leq q$ ,

$$\prod_{j=1}^n w_{k+j} > \frac{1}{\epsilon} \quad \text{and} \quad \prod_{j=0}^{n-1} w_{k-j} < \epsilon.$$

# Paths of Hypercyclic Weighted Shifts on $\ell^p$

- with Sanders (2009): Between any two hypercyclic unilateral weighted backward shifts, there is a path of such operators with a dense  $G$  set of common hypercyclic vectors. Also, there is a path of such operators with no common hypercyclic vectors.

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- The same holds true for bilateral weighted shifts.

Natural Question: Can we have "a lot" of operators in a path and yet their common hypercyclic vectors still form a dense  $G$  subset? What do we mean by "a lot"?



# Existence of Hypercyclic Operators

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Definition. A vector  $x \in X$  is said to be a periodic point of an operator  $T$  in  $B(X)$  if there is a positive integer  $n$  such that  $T^n x = x$ .

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Definition. An operator on  $X$  is said to be chaotic if and only if it is hypercyclic and has a dense set of periodic points.

- Bonnet & Martínez-Giménez & Peris (2001): There is a separable, infinite dimensional Banach space which admits no chaotic operator.

# A Zero-One Law for Chaotic Operators

SOT = Strong Operator Topology of the operator algebra  $B(X)$ .

- (2002): For a separable, infinite dimensional Hilbert space  $H$ , the hypercyclic operators on  $H$  are SOT-dense in  $B(H)$ .

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- with Bes (2003): The set of chaotic operators on a separable, infinite dimensional Banach space  $X$  is either empty or SOT-dense in  $B(X)$ .

Indeed, if  $T \in B(X)$  is hypercyclic, then its conjugate class, or similarity orbit,  $\{A^{-1}TA : A \text{ invertible on } X\}$  is SOT-dense in  $B(X)$ .

# A Double Density Theorem

Let  $H$  be separable, infinite dimensional Hilbert space over  $\mathbb{C}$ .

- with Sanders (2011): There is a path of chaotic operators in  $B(H)$  that is SOT-dense in  $B(H)$ , and each operator on the path shares the exact same set  $\mathcal{G}$  of common hypercyclic vectors.

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- Corollary: The path can be taken so that each operator along the path satisfies the hypercyclicity criterion.
- Corollary: The hypercyclic operators in  $B(H)$  are SOT-connected.
- Corollary: The hypercyclic operators  $T$  in  $B(H)$  with  $\mathcal{G} \subset \mathcal{HC}(T)$  are SOT-connected.

# Similarity Orbits

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Observations of some zero-one phenomenon:

- (1) If  $\mathcal{HC}(T) = X \setminus \{0\}$ , the set of common hypercyclic vectors for  $\mathcal{S}(T)$  is also  $X \setminus \{0\}$ .
- (2) If  $\mathcal{HC}(T) \neq X \setminus \{0\}$ , the set of common hypercyclic vectors for  $\mathcal{S}(T)$  is empty.

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# Unitary Orbits

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- with Sanders (2012): If  $T \in B(H)$  be hypercyclic, then  $\mathcal{U}(T)$  contains a path  $\mathcal{P}$  of operators so that  $\overline{\mathcal{P}}^{\text{SOT}}$  contains  $\mathcal{U}(T)$  and the common hypercyclic vectors for  $\mathcal{P}$  is a dense  $G$  set.

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Corollary:  $T$  is not hypercyclic if every  $\text{orb}(T, x) \cup \{0\}$  is closed.

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Remark: (A), (B), (D) are equivalent for bilateral weighted shifts.

- with Sanders (2004): A unilateral weighted backward shift is hypercyclic if and only if it is weakly hypercyclic. But, there is a bilateral weighted shift that is weakly hypercyclic but not hypercyclic.

## A Remark on Theorem

If  $\text{orb}(T, x)$  has a nonzero limit point, we can only conclude  $T$  is hypercyclic but we cannot conclude that  $x$  is a hypercyclic vector, and in fact not even a cyclic vector.

A vector  $x$  is a cyclic vector for  $T$ , if  $\text{span orb}(T, x)$  is dense in  $X$ .

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Let  $(e_n)$  be the canonical basis of  $\ell^p$ .

- with Seceleanu (preprint, 2013): The vector  $x$  is a cyclic vector for  $T$ , if

(1) the weight  $(w_j)_{j=1}^{\infty}$  of  $T$  is bounded below, and

(2)  $\text{orb}(T, x)$  has a nonzero limit point  $f$  given by  $f = a_0 e_0 + \cdots + a_n e_n$  (finite sum) for some scalars  $a_j$ .

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There are examples to show both (1) and (2) are needed for  $x$  to be a cyclic vector.

# Proof of $\neg(B) \implies (A)''$

Suppose there exist a vector  $x = (x_0, x_1, x_2, \dots) \in \ell^p$  and a non-zero vector  $f = (f_0, f_1, f_2, \dots) \in \ell^p$  such that  $f$  is a limit point of the orbit  $\text{Orb}(T, x)$ .

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Since  $f_j \neq 0$  for some  $j \geq 0$ , we assume without loss of generality that  $f_0 \neq 0$ . Hence there exist an increasing sequence  $\{n_k : k \geq 1\} \subset \mathbb{N}$  and an integer  $N > 0$  such that

$$\|T^{n_k}x - f\| < \frac{1}{2^k} < \frac{|f_0|}{2},$$

for all  $k \geq N$ . Then

$$T^{n_k}x = T^{n_k}(x_0, x_1, x_2, \dots) = (w_1 \cdots w_{n_k} x_{n_k}, \dots).$$

# Proof of $\neg(B) \implies (A)$

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Hence  $\|T^{n_k}x - f\| \geq |w_1 \cdots w_{n_k} x_{n_k} - f_0|$ . So there exists a sequence  $\{n_k : k \geq 1\}$  such that

$$|w_1 \cdots w_{n_k} x_{n_k} - f_0| < |f_0|/2,$$

for all  $k \geq N$ .

## $\neg(B) \implies (A)$ Completed

Thus  $|f_0|/2 < |w_1 \cdots w_{n_k} x_{n_k}|$  and so  $\frac{|f_0|}{2(w_1 \cdots w_{n_k})} < |x_{n_k}|$  for all  $k \geq N$ . Hence we get that

$$\frac{|f_0|^p}{2^p(w_1 \cdots w_{n_k})^p} < |x_{n_k}|^p, \text{ for all } k \geq N.$$

Now since  $x \in \ell^p$  we have

$$\frac{|f_0|^p}{2^p} \sum_{k=N}^{\infty} \frac{1}{(w_1 \cdots w_{n_k})^p} \leq \sum_{k=N}^{\infty} |x_{n_k}|^p \leq \|x\|^p < \infty.$$



## $\setminus(B) \implies (A)$ Completed

Thus  $|f_0|/2 < |w_1 \cdots w_{n_k} x_{n_k}|$  and so  $\frac{|f_0|}{2(w_1 \cdots w_{n_k})} < |x_{n_k}|$  for all  $k \geq N$ . Hence we get that

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It follows that  $\frac{1}{(w_1 \cdots w_{n_k})^p} \rightarrow 0$ . That is, there exists an increasing sequence  $\{n_k\}$  such that  $w_1 \cdots w_{n_k} \rightarrow \infty$  as  $k \rightarrow \infty$ .

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$$\frac{|f_0|^p}{2^p} \sum_{k=N}^{\infty} 1$$

# Recall: A Zero-One Law for Orbital Limit Points

- with Seceleanu (2012): Let  $T : \ell^p \rightarrow \ell^p$  be a unilateral weighted backward shift. The following are equivalent:

- (A)  $T$  is hypercyclic.
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# Proof of $\neg(C) \implies (B)''$

Let  $x = (x_0, x_1, x_2, \dots) \in \ell^p$  be a vector whose  $\text{Orb}(T, x)$  has  $f = (f_0, f_1, f_2, \dots) \in \ell^p$  as a non-zero weak limit point, with  $f_k$

# Proof of $\backslash(C) \implies (B)''$

Let  $x = (x_0, x_1, x_2, \dots) \in \ell^p$  be a vector whose  $\text{Orb}(T, x)$  has  $f = (f_0, f_1, f_2, \dots) \in \ell^p$  as a non-zero weak limit point, with  $f_k \neq 0$ .

Considering the weakly open sets that contain  $f$ , we get that for all  $j \geq 1$  there exists an  $n_j \geq 1$  such that  $|\langle T^{n_j} x - f, e_k \rangle| < \frac{1}{j}$ .

That is  $|w_{k+1} \cdots w_{k+n_j} x_{k+n_j} - f_k| < \frac{1}{j}$ , for all  $j \geq 1$ .

Next, we inductively pick a subsequence  $\{n_{j_k}\}$  of  $\{n_j\}$  as follows:

1. Let  $j_1 = 1$ .
2. Once we have chosen  $j_m$  we pick  $j_{m+1} > j_m$  such that

$$k + n_{j_m} < n_{j_{m+1}} \text{ and } \sum_{i=j_{m+1}}^{\infty} |x_{k+n_i}|^p \leq \frac{1}{j_m \cdot \|T\|^{p \cdot n_{j_m}}}.$$

Thus we can assume, by taking a subsequence if necessary, that

$$\{n_j\} \text{ satisfies } k + n_j < n_{j+1} \text{ and } \sum_{i=j+1}^{\infty} |x_{k+n_i}|^p \leq \frac{1}{j \cdot \|T\|^{p \cdot n_j}}.$$

## $\neg(C) \implies (B)$ Continued

Let  $y = \sum_{i=1}^{\infty} x_{k+n_i} \cdot e_{k+n_i}$ . Clearly  $y$  is in  $\ell^p$ , because  $x$  is.

Then  $T^{n_m}y = \sum_{i=1}^{\infty} x_{k+n_i} \cdot T^{n_m}e_{k+n_i}$ . But  $k + n_i < n_{i+1}$  for all  $i \geq 1$

and so  $k + n_i < n_m$  for all  $i < m$ . Thus since  $T$  is a unilateral

backward shift we conclude that  $T^{n_m}y = \sum_{i=m}^{\infty} x_{k+n_i} \cdot T^{n_m}e_{k+n_i}$ .

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backward shift we conclude that  $T^{n_m} y = \sum_{i=m}^{\infty} x_{k+n_i} \cdot T^{n_m} e_{k+n_i}$ .

Furthermore, since the vectors  $T^{n_m} e_{k+n_i}$  and  $T^{n_m} e_{k+n_j}$  have disjoint support for  $i \neq j$ , that is  $\widehat{T^{n_m} e_{k+n_i}}(s) = 0$  whenever  $\widehat{T^{n_m} e_{k+n_j}}(s) \neq 0$ , we have that

$$\begin{aligned} & \|T^{n_m} y - f_k e_k\| \\ & \leq \| (w_{k+1} \cdots w_{k+n_m} x_{k+n_m} - f_k) \cdot e_k \| + \left\| \sum_{i=m+1}^{\infty} x_{k+n_i} \cdot T^{n_m} e_{k+n_i} \right\| \end{aligned}$$

# $\backslash(C) \implies (B)''$ Completed

Thus,

$$\begin{aligned} & \|T^{n_m}y - f_k e_k\| \\ & \leq |w_{k+1} \cdots w_{k+n_m} x_{k+n_m} - f_k| + \left[ \sum_{i=m+1}^{\infty} |x_{k+n_i}|^p \cdot \|T^{n_m} e_{k+n_i}\|^p \right]^{1=p} \\ & \leq \frac{1}{m} + \left[ \sum_{i=m+1}^{\infty} |x_{k+n_i}|^p \cdot \|T\|^{p \cdot n_m} \right]^{1=p} \leq \frac{1}{m} + \frac{1}{\sqrt[p]{m}} \rightarrow 0 \text{ as } m \rightarrow \infty. \end{aligned}$$



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Thus,

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Thus  $T^{n_m}y \rightarrow f_k e_k$  in norm as  $m \rightarrow \infty$ , where  $f_k e_k \neq 0$  in  $\ell^p$ , and hence  $\text{Orb}(T, y)$  has a non-zero limit point.  $\square$

# Bergman Spaces

Let  $\Omega$  be a region in  $\mathbb{C}$  and  $H^\infty(\Omega)$  be the algebra of all bounded analytic functions on  $\Omega$ .

Let  $A^2(\Omega) = \{f : \Omega \rightarrow \mathbb{C} \mid f \text{ analytic, and } \int_\Omega |f|^2 dA < \infty\}$  be the Bergman space.

If  $\varphi \in H^\infty(\Omega)$ , then we define  $M_\varphi : A^2(\Omega) \rightarrow A^2(\Omega)$  by  $M_\varphi f = \varphi f$ .

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- Godefroy & Shapiro (1991): The adjoint operator  $M_\varphi^* : A^2(\Omega) \rightarrow A^2(\Omega)$  is hypercyclic if and only if  $\varphi(\Omega)$  intersects the unit circle.

# A Zero-One Law for Adjoint Multiplication Operators

Let  $\varphi \in H^\infty(\ )$  be a nonconstant function, and  $M_\varphi : A^2(\ ) \rightarrow A^2(\ )$ .

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# What about the Hardy Space?

Let  $\mathbb{D}$  be the open unit disk, and let

$$H^2 = \left\{ f : \mathbb{D} \rightarrow \mathbb{C} \mid f(z) = \sum_0^\infty a_n z^n \text{ analytic and } \sum_0^\infty |a_n|^2 < \infty \right\}$$

be the Hardy space.

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Let  $\varphi : \mathbb{D} \rightarrow \mathbb{D}$  be an analytic map.

Define  $C_\varphi : H^2$

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Let  $\varphi : \mathbb{D} \rightarrow \mathbb{D}$  be an analytic map.

Define  $C_\varphi : H^2 \rightarrow H^2$  by  $C_\varphi f = f \circ \varphi$ .

- with Seceleanu (2012): If  $\alpha > 0$  is an irrational number, and  $\varphi(z) = e^{2\pi i \alpha} z$ , then  $C_\varphi$  has an orbit with the identity function  $\psi(z) \equiv z$  as a nonzero limit point, but  $C_\varphi$  is not hypercyclic.